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DECLARATION FOR PATENT APPLICATION



As the below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **SOMATIC TRANSGENE IMMUNIZATION AND RELATED METHODS**, the specification of which

\_\_\_\_\_ is attached hereto as Attorney Docket No. \_\_\_\_\_).  
X was filed on April 27, 1999  
as Application Serial No. 09/300,959  
(Attorney Docket No. P-ZA 3519)

and was amended on (or amended through) \_\_\_\_\_.  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to myself to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

Under Sec. 1.56, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or (2) It refutes, or is inconsistent with, a position the

Inventor: Maurizio Zanetti  
Serial No.: 09/300,959  
Filed: April 27, 1999  
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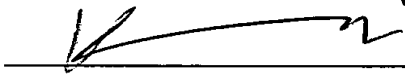


applicant takes in: (i) Opposing an argument of unpatentability relied on by the U.S. Patent and Trademark Office, or (ii) Asserting an argument of patentability.

I hereby claim the benefit under Title 35, United States Code, §119(e) of the United States provisional application listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
60/083,154	April 27, 1998	Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: **MAURIZIO ZANETTI**  
Inventor's signature:   
Date: June 22, 1999  
Residence: **La Jolla, California, United States of America**  
Citizenship: **Italy**  
Post Office Address: **6112 La Jolla Hermosa Avenue  
La Jolla, California 92037**

POWER OF ATTORNEY FOR PATENT APPLICATION BY INVENTOR



The undersigned, **Maurizio Zanetti**, is an inventor of U.S. patent application Ser. No. **09/300,959**, filed on **April 27, 1999**, as attorney docket number **P-ZA 3519**, and entitled **SOMATIC TRANSGENE IMMUNIZATION AND RELATED METHODS**, and any subsequently filed divisional, continuation, continuation-in-part or reissue application, including international and foreign applications claiming priority thereto.

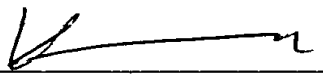
The inventor hereby appoints the following attorneys to prosecute these applications and to transact all related business in the United States Patent and Trademark Office and any international and foreign patent offices:

CATHRYN CAMPBELL, Registration No. 31,815; SUSAN M. PERKINS, Registration No. 36,405; PAUL C. STEINHARDT, Registration No. 30,806; GREGORY R. HOOK, Registration No. 38,701; DAVID SPOLTER, Registration No. 36,933; DAVID A. GAY, Registration No. 39,200; CALVIN A. FAN, Registration No. 38,444; ROBERT T. RAMOS, Registration No. 37,915; ANDREA L. GASHLER, Registration No. 41,029; JAMES J. WONG, Registration No. 34,949; and DEBORAH L. CADENA, Registration No. 44,048.

Please direct all telephone calls to Cathryn Campbell at (619) 535-9001 and address all correspondence to:

CATHRYN CAMPBELL  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
Suite 700  
San Diego, California 92122

Signature:



Name (typed):

Maurizio Zanetti

Date:

June 22, 1999

Handwritten: *mm*

Docket No.: P-ZA 3519

Applicants or Patentees: Maurizio Zanetti

Serial or Patent No.: 09/300,959

Filed or Issued: April 27, 1999

For: SOMATIC TRANSGENE IMMUNIZATION AND RELATED METHODS



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) INDEPENDENT INVENTOR

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As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled **SOMATIC TRANSGENE IMMUNIZATION AND RELATED METHODS** by inventor(s) **Maurizio Zanetti** described in:

- ☐ the specification filed herewith  
☒ application serial no. 09/300,959, filed April 27, 1999  
☐ patent no. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

If the rights held by the independent inventor are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any persons, other than the inventors, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Inventors: **Maurizio Zanetti**  
Serial No.: **09/300,959**  
Filed: **April 27, 1999**

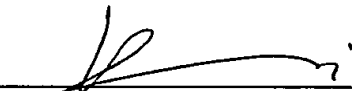


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: MAURIZIO ZANETTI

ADDRESS OF PERSON SIGNING: 6112 La Jolla Hermosa Avenue  
La Jolla, California 92037

SIGNATURE:  DATE: JUNE 22, 1999